



Legal Entity Identifier India Limited

(CIN U74900MH2015PLC268921)

NOTICE

NOTICE IS HEREBY GIVEN THAT THE SIXTH ANNUAL GENERAL MEETING OF THE MEMBERS OF LEGAL ENTITY IDENTIFIER INDIA LIMITED WILL BE HELD ON TUESDAY, MAY 25, 2021 AT 3.00 P.M. AT SHORTER NOTICE AT THE REGISTERED OFFICE OF THE COMPANY AT CCIL BHAVAN, S. K. BOLE ROAD, DADAR (WEST), MUMBAI – 400 028 TO TRANSACT THE FOLLOWING BUSINESS:

ORDINARY BUSINESS:

1. To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended March 31, 2021, the reports of the Board of Directors and Auditors thereon.
2. To consider and approve the appointment and remuneration of M/s. G. M. Kapadia & Co., Chartered Accountants (Reg. no. 104767W) as Statutory Auditors in place of M/s. Kalyaniwalla & Mistry, LLP and to consider, and if thought fit, to pass, with or without modification(s), the following resolution as an **Ordinary Resolution**:

“RESOLVED THAT pursuant to the provisions of Sections 139, 140, 142 read with Rule 3 of the Companies (Audit and Auditors) Rules, 2014 and all other applicable provisions of the Companies Act, 2013 and Rules framed thereunder, as amended from time to time, M/s G. M. Kapadia & Co., Chartered Accountants (Firm Reg. no. 104767W) be and is hereby appointed as statutory auditors of the Company in place of the retiring auditors, M/s Kalyaniwalla & Mistry, LLP Chartered Accountants (Firm Reg. no 104607W), to hold office for a term of 5 years from the conclusion of this 6th Annual General Meeting until the conclusion of the 11th Annual General Meeting at a remuneration of Rs. 1,00,000/- towards audit fees exclusive of applicable

taxes and out of pocket expenses (which are to be reimbursed at actuals) for the Financial Year 2021-2022 and at such remuneration as may be decided by the Board for the Financial Years 2022-23 to 2025-2026.”

SPECIAL BUSINESS:

3. To decide not to fill the vacancy caused due to retirement by rotation of Mr. V. Chandrasekaran (DIN: 03126243) and to consider, and if thought fit, to pass, with or without modification(s), the following resolution as an **Ordinary Resolution:**

“RESOLVED THAT pursuant to the provisions of Section 152 of the Companies Act, 2013 and rules framed thereunder, Mr. V. Chandrasekaran (holding DIN: 03126243), a Director of the Company who retires by rotation and who does not offer himself for re-appointment, be not re-appointed as a Director of the Company and the vacancy, so caused on the Board of the Company, be not filled up for the time being.”

4. Appointment of Mr. Hare Krishna Jena (holding DIN:07624556) as a Director (liable to retire by rotation) of the Company and to consider, and if thought fit, to pass, with or without modification(s), the following resolution as an **Ordinary Resolution:**

“RESOLVED THAT pursuant to the provisions of Section 152 and other applicable provisions, if any, of the Companies Act, 2013 and rules made thereunder (including any statutory modification(s) or re-enactment thereof) for the time being in force as amended from time to time, Mr. Hare Krishna Jena (holding DIN-07624556), who was appointed as an Additional Director w.e.f. August 1, 2020, by the Board of Directors at its meeting held on July 28, 2020 pursuant to Article 130 of the Articles of Association of the Company and who holds such office till the date of this Annual General Meeting in terms of Section 161 of the Companies Act, 2013 and in respect of whom the Company has received a notice in writing, under Section 160 of the Companies Act, 2013, from The Clearing Corporation of India Ltd., a member, proposing his candidature for the office of Director, be and is hereby appointed as a Director of the Company, liable to retire by rotation.”

5. Appointment of Mr. O.N. Ravi (holding DIN:08646176) as a Director (liable to retire by rotation) of the Company and to consider, and if thought fit, to pass, with or without modification(s), the following resolution as an **Ordinary Resolution**:

“RESOLVED THAT pursuant to the provisions of Section 152 and other applicable provisions, if any, of the Companies Act, 2013 and rules made thereunder (including any statutory modification(s) or re-enactment thereof) for the time being in force as amended from time to time, Mr. O.N. Ravi (holding DIN-08646176), who was appointed as an Additional Director w.e.f. August 1, 2020, by the Board of Directors at its meeting held on July 28, 2020 pursuant to Article 130 of the Articles of Association of the Company and who holds such office till the date of this Annual General Meeting in terms of Section 161 of the Companies Act, 2013 and in respect of whom the Company has received a notice in writing, under Section 160 of the Companies Act, 2013, from The Clearing Corporation of India Ltd., a member, proposing his candidature for the office of Director, be and is hereby appointed as a Director of the Company, liable to retire by rotation.”

**By Order and on behalf of the Board of Directors,
For Legal Entity Identifier India Limited**

**Sd/-
Hare Krishna Jena
Director
(DIN: 07624556)**

Mumbai, May 21, 2021

Registered Office:

CCIL Bhavan,

S. K. Bole Road, Dadar (West), Mumbai - 400 028

Tel: 61546469 /6154 6476

Website: www.ccilindia-lei.co.in

CIN- U74900MH2015PLC268921

Email id- ssd@ccilindia.co.in

Prominent Landmark: Near Our Lady of Salvation Church (Portuguese Church)

NOTES:

1. A MEMBER ENTITLED TO ATTEND AND VOTE AT THE MEETING IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE INSTEAD OF HIMSELF AND SUCH PROXY NEED NOT BE A MEMBER OF THE COMPANY.
2. A proxy shall not have the right to speak and shall not be entitled to vote except on a poll.
3. Proxies, if any, in order to be effective must be received along with the notarized copy of the power of attorney or other authority under which it is duly signed in, at the Company's Registered Office, either in person or through post, not later than 48 hours before the time fixed for holding the Meeting. In order to be valid, the proxy form shall be completely and duly filled, stamped, signed and dated. The stamps affixed on the proxy form shall be cancelled.
4. A proxy can act on behalf of Members not exceeding fifty and holding in the aggregate not more than 10% of the total share capital of the Company carrying voting rights. However, a member holding more than 10% of the total share capital of the Company carrying voting rights may appoint a single person as proxy and such person shall not act as a proxy for any other person / shareholder.
5. Documents, if any, referred to or in relation to the Notice may be inspected at the Registered Office of the Company on any working day during business hours between 10.30 A.M to 5.30 P.M. up to the date of the Meeting and also during the continuation of the Meeting.
6. Explanatory Statement pursuant to Section 102(1) of the Companies Act, 2013, in respect of the ordinary/special business item nos. 2, 3, 4 and 5 set out above is annexed hereto and forms part of this Notice.
7. Corporate members intending to send their authorized representatives to attend the Meeting are requested to send a certified copy of the Board/other Governing Body

Resolution authorizing their representatives to attend and vote on their behalf at the Sixth Annual General Meeting.

8. Members / Proxies are requested to bring their duly filled in attendance slips sent herewith, at the Meeting.
9. Members who desire to register their email addresses with the Company for receiving all communications including Annual Report, Notices, Circulars etc. from the Company in electronic mode are requested to register their email addresses with the Company by sending an email to ssd@ccilindia.co.in.
10. The details as required under the Secretarial Standards on General Meetings issued by The Institute of Company Secretaries of India (ICSI), in respect of the Directors seeking appointment/re-appointment at the Sixth Annual General Meeting under item no. 4 and 5 of the Notice, is annexed hereto.
11. In accordance with the provisions of the Companies Act, 2013 and SS-2 Secretarial Standard on General Meetings, the request for consenting to shorter notice of the members for calling the 6th Annual General Meeting is enclosed with the Notice. Further, the 6th Annual General Meeting shall be held only if the consent is received prior to the time fixed for the Meeting from not less than ninety-five percent of the Members entitled to vote at such Meeting.
12. The route map of the address of the Meeting is also annexed hereto and is hosted along with the Notice on the website of the Company (www.ccilindia-lei.co.in).

EXPLANATORY STATEMENT

As required by Section 102(1) of the Companies Act 2013, the following statement sets out all material facts relating to the businesses mentioned under Item Nos. 2, 3, 4 and 5 of the accompanying Notice dated May 21, 2021.

Item No. 2

This explanatory statement is being provided as a good corporate governance practice, although not mandated under the Companies Act, 2013.

In terms of the requirements of Section 139 of the Companies Act, 2013, every Company shall appoint Statutory Auditors for a period of five years who shall hold office till the conclusion of the 6th Annual General Meeting from the date of appointment at the concerned Annual General Meeting and thereafter till the conclusion of every sixth meeting and manner and procedure of selection of auditors by the members of the Company at such meeting shall be such as may be prescribed.

The Company at its 1st Annual General Meeting (AGM), appointed M/s. Kalyaniwalla & Mistry, Chartered Accountants (Firm Regn No. 104607W) as Statutory Auditors for a period of 5 years from the conclusion of the 1st AGM until the conclusion of the 6th AGM i.e from the financial year 2016-17 to the financial year 2020-21 in terms of the provisions of the Companies Act, 2013 read with Companies (Audit and Auditors) Rules, 2014 as amended from time to time.

In view of the above, the Company is required to appoint new Statutory Auditors in place of M/s. Kalyaniwalla & Mistry LLP, retiring statutory auditors.

As per the extant practice, the Statutory Auditor appointed by The Clearing Corporation of India Ltd (CCIL), the Holding Company is also appointed as the Statutory Auditor by the Company.

The Audit Committee of CCIL, the holding company in its meeting held on April 8, 2021, decided in-principle to select and recommend the appointment of M/s. G. M. Kapadia & Co. as statutory auditors of CCIL and its wholly owned subsidiaries, including the Company.

Therefore, pursuant to the provisions of the said Act and Rules made thereunder, the Board of Directors at their meeting to be held on May 6, 2021, considered and recommended to the shareholders, the appointment of M/s. G. M. Kapadia & Co., Chartered Accountants (Firm Reg. no. 104767W) as Statutory Auditors of the Company to hold office as such from the conclusion of this 6th Annual General Meeting till the conclusion of the 11th Annual General Meeting at a remuneration of Rs. 1,00,000/- towards audit fees exclusive of applicable taxes and out of pocket expenses (which are to be reimbursed at actuals) for the financial year 2021-22 and at such remuneration as may be decided by the Board for the financial years 2022-23 to 2025-26. The Company has received *inter alia*, the consent of M/s. G. M. Kapadia & Co., Chartered Accountants and a certificate of eligibility confirming that they are eligible to be appointed under Sections 139 and 141 of the Companies Act, 2013 and are not disqualified under the Act, Chartered Accountants Act, 1949 and rules and regulations made thereunder. They have also mentioned about their independence vis-à-vis the Company in the said certificate.

The appointment of M/s. G. M. Kapadia & Co., Chartered Accountants (Reg. no. 104767W) as Statutory Auditors in place of M/s. Kalyaniwalla & Mistry, LLP has been approved by the Board of CCIL at its meeting held on May 7, 2021 and recommended to the shareholders of CCIL for their approval.

The Board recommends for your approval the passing of the Ordinary Business as mentioned in Item No.2 as an Ordinary Resolution.

Documents, if any, referred above, are made available for inspection in terms of Point 5 of the Notes to the accompanying Notice.

None of the Directors, Key Managerial Persons of the Company and / or their relatives are concerned or interested, financially or otherwise, in the said resolution.

Item No. 3

Pursuant to the provisions of Section 152 of the Companies Act, 2013 and in accordance with the Articles of Association of the Company, Mr. V. Chandrasekaran (holding DIN: 03126243), Director retires by rotation at this Annual General Meeting (AGM). Mr. V.

Chandrasekaran has indicated to the Company that he is not seeking re-appointment in view of his other commitments.

Mr. V. Chandrasekaran has been on the Board of the Company since inception i.e October 5, 2015. The Board places on record its sincere appreciation and recognition of the valuable contribution and services rendered by Mr V. Chandrasekaran during his tenure as a Director on the Board of the Company. The Board proposes that the vacancy caused by his retirement be not filled-up forthwith at this AGM.

The Board recommends for your approval the passing of the Special Item of business as mentioned in Item No. 3 as an Ordinary Resolution.

Documents, if any, referred above, are made available for inspection in terms of Point 5 of the Notes to the accompanying Notice.

None of the Directors, Key Managerial Persons of the Company and / or their relatives are concerned or interested, financially or otherwise, in the said resolutions except Mr. V. Chandrasekaran.

Item No. 4

The Board of Directors at its meeting held on July 28, 2020, appointed Mr. Hare Krishna Jena (holding DIN:07624556) as an Additional Director of the Company w.e.f. August 1, 2020. Pursuant to the provisions of Section 161 of the Companies Act, 2013 read with Article 130 of the Articles of Association of Company, Mr. Hare Krishna Jena holds office as an Additional Director upto the date of this Annual General Meeting.

The Company has received a notice in writing from The Clearing Corporation of India Limited, a member proposing his candidature for the office of Director liable to retire by rotation pursuant to Section 160 of the Companies Act, 2013.

Mr. Hare Krishna Jena holds a degree of M.A (Economics) from Jawaharlal Nehru University, Delhi, a diploma in Treasury Investment and Risk Management and is a Certified Associate from the Indian Institute of Bankers (CAIIB). Mr. Jena has also completed a Leadership Development Program (3 phases) from the Indian School of Business, Hyderabad. He is a banking professional with 34 years of experience in wholesale and retail banking with an experience spanning over varied fields namely risk, information technology, treasury, finance, forex etc. He was the Deputy Managing Director (Global Markets) of State Bank of India and has also served as a Director on the Boards of SBI DFHI Limited, Foreign Exchange Dealers Association of India, SBI-SG Global Securities Services Private Limited, Macquarie SBI Infrastructure Management PTE Limited, Oman India Joint Investment Fund Management Company Private Limited etc.

A brief profile covering the details of his age, qualification, experience, terms and conditions of appointment, etc as required pursuant to the Secretarial Standards on General Meetings, is annexed to this Notice as Annexure I.

The Company has received from Mr. Hare Krishna Jena, a consent to act as Director in form DIR-2 pursuant to Rule 8 of Companies (Appointment and Qualification of Directors) Rules, 2014 and intimation in Form DIR-8 in terms of Companies (Appointment and Qualification of Directors) Rules, 2014 to the effect that he is not disqualified from being appointed as a Director under sub-section 2 of Section 164 of the Companies Act, 2013.

In the opinion of the Board, Mr. Hare Krishna Jena has vast experience in the areas of Banking and Finance and his appointment would be beneficial to the Company. As decided by the Board, no sitting fee shall be paid to Mr. Hare Krishna Jena for attending the meetings of the Board.

The Board recommends for your approval the passing of the Special Item of business as mentioned in Item No. 4 as an Ordinary Resolution.

Documents, if any, referred above, are made available for inspection in terms of Point 5 of the Notes to the accompanying Notice.

None of the Directors, Key Managerial Persons of the Company and / or their relatives are concerned or interested, financially or otherwise, in the said resolutions except Mr. Hare Krishna Jena.

Item No. 5

The Board of Directors at its meeting held on July 28, 2020, appointed Mr. O.N. Ravi (holding DIN: 08646176) as an Additional Director of the Company w.e.f. August 1, 2020. Pursuant to the provisions of Section 161 of the Companies Act, 2013 read with Article 130 of the Articles of Association of Company, Mr. O.N. Ravi holds office as an Additional Director upto the date of the this Annual General Meeting.

The Company has received a notice in writing from The Clearing Corporation of India Limited, a member proposing his candidature for the office of Director liable to retire by rotation pursuant to Section 160 of the Companies Act, 2013.

Mr. O.N. Ravi is an Associate Company Secretary and also an associate member of ACIS (LONDON). He holds a doctorate from NLSIU, Bangalore. Mr. Ravi has been associated with CCIL as a Senior Official for the past 19 years and has over 28 years of experience and expertise in the financial markets. At CCIL, he has played a key role and been actively involved in development of various product lines and discharging responsibilities as senior official in the capacity of EVP. As the Member of the Committee of Senior Officials (CCIL), he has steered various decisions in the absence of the Managing Director of CCIL and Clearcorp, another wholly owned subsidiary of CCIL.

A brief profile covering the details of his age, qualification, experience, terms and conditions of appointment, etc as required pursuant to the Secretarial Standards on General Meetings, is annexed to this Notice as Annexure I.

The Company has received from Mr. O.N. Ravi, a consent to act as Director in form DIR-2 pursuant to Rule 8 of Companies (Appointment and Qualification of Directors) Rules, 2014

and intimation in Form DIR-8 in terms of Companies (Appointment and Qualification of Directors) Rules, 2014 to the effect that he is not disqualified from being appointed as a Director under sub-section 2 of Section 164 of the Companies Act, 2013.

In the opinion of the Board, Mr. O.N. Ravi has vast experience in the areas of capital markets, mutual funds and payment systems and his appointment would be beneficial to the Company. As decided by the Board, no sitting fee shall be paid to Mr. O.N. Ravi for attending the meetings of the Board.

The Board recommends for your approval the passing of the Special Item of business as mentioned in Item No. 5 as an Ordinary Resolution

Documents, if any, referred above, are made available for inspection in terms of Point 5 of the Notes to the accompanying Notice.

None of the Directors, Key Managerial Persons of the Company and / or their relatives are concerned or interested, financially or otherwise, in the said resolutions except Mr. O.N. Ravi.

**By Order and on behalf of the Board of Directors,
For Legal Entity Identifier India Limited**

**Sd/-
Hare Krishna Jena
Director
(DIN: 07624556)**

Mumbai, May, 21, 2021

Registered Office:

CCIL Bhavan,

S. K. Bole Road, Dadar (West), Mumbai - 400 028

Tel: 61546469 /6154 6476

Website: www.ccilindia-lei.co.in

CIN- U74900MH2015PLC268921

Email id- ssd@ccilindia.co.in

Prominent Landmark: Near Our Lady of Salvation Church (Portuguese Church)

Annexure I**Details of Directors seeking appointment/re-appointment at the 6th Annual General Meeting scheduled to be held on May, 25, 2021**

Name of Director	Mr. Hare Krishna Jena	Mr. O.N. Ravi
Designation	Non-Executive Director	Non-Executive Director
Age	59.7 years	58.11 years
Date of first appointment on the Board	August 1, 2020	August 1, 2020
Qualifications	M.A. (Economics), CAIIB	B.Com , LLB , A.C.S, ACIS (London), Ph.D.
Experience	Banking professional with 34 years of experience in wholesale and retail banking.	34 years of experience in capital markets, mutual funds and payment systems.
Shareholding in the Company	Nil	Nil
Number of Meetings of the Board attended during the financial year 2020-21 / Number of Meetings held during the tenure of Directors	2/2	2/2
Directorships held in other Companies	(As on April 22, 2021) 1. The Clearing Corporation of India Limited – Managing Director; 2. Clearcorp Dealing Systems (India) Limited- Managing Director	(As on April 23, 2021) Nil
Particulars of Committee Chairmanship/ Membership held in other Companies	Enclosed as Annexure II	Nil
Relationship with other Directors / KMP of the Company	None	None
Terms and Conditions of appointment / re-	As mentioned in the resolution no. 4 above	As mentioned in the resolution no. 5 above

appointment		
Remuneration sought to be paid	Nil	Nil
Remuneration last drawn during the financial year-2020-21	Nil	Nil

Annexure II

Particulars of Chairmanship/ Membership of Committees held in other Companies by Mr. Hare Krishna Jena as on April 22, 2021.

Sr. No.	Names of the Company	Name of Committee	Member/Chairman
1.	The Clearing Corporation of India Limited	Committee for Bye-Laws, Rules and Regulations	Member
		Technical Approval Committee	Member
		Human Resources Committee	Member
		Regulatory Compliance Committee	Member
		Committee of Directors on Risk Management	Member
		Corporate Social Responsibility Committee	Member
		Premises Advisory Committee	Chairman
2.	Clearcorp Dealing Systems (India) Limited	Corporate Social Responsibility Committee	Member



Attendance Slip

LEGAL ENTITY IDENTIFIER INDIA LIMITED

CIN: U74900MH2015PLC268921

Name of the Company: LEGAL ENTITY IDENTIFIER INDIA LIMITED

Registered Office: CCIL Bhavan, S. K. Bole Road, Dadar (West), Mumbai - 400 028. Tel: 61546469/61546476 Website: www.ccilindia-lei.co.in

Name of the Member:

Registered Address:

Folio No:

No. of Shares:

I/ We hereby record my/ our presence at the 6th Annual General Meeting of the Company held on Tuesday, May 25, 2021 at 3.00 p.m. at Shorter Notice at the Registered Office of the Company at CCIL Bhavan, S. K. Bole Road, Dadar (West), Mumbai-400 028.

Signature of Member/ Proxy



Form No. MGT-11

Proxy Form

[Pursuant to section 105(6) of the Companies Act, 2013 and rule 19(3) of the Companies (Management and Administration) Rules, 2014]

CIN: U74900MH2015PLC268921

Name of the Company: LEGAL ENTITY IDENTIFIER INDIA LIMITED

Registered Office: CCIL Bhavan, S. K. Bole Road, Dadar (West), Mumbai - 400 028. Tel: 61546469/61546476 Website: www.ccilindia-lei.co.in

Name of the member (s):

Registered Address:

E- Mail Id:

Folio No/ Client Id:

DP ID:

I/ We, being the member (s) of shares of the above named Company, hereby appoint

1. Name:

Address:

E-mail Id:

Signature:....., or failing him

2. Name:

Address:

E-mail Id:

Signature:....., or failing him

3. Name:

Address:

E-mail Id:

Signature:.....

as my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the 6th Annual General Meeting ~~Extraordinary General Meeting~~ of the Company, to be held on Tuesday, 25th day of May 2021 at 3.00 p.m. at the Registered Office of the Company at CCIL Bhavan, S. K. Bole Road, Dadar (West), Mumbai-400 028 and at any adjournment thereof in respect of such resolutions as are indicated below:

Resolution No.	Resolutions
ORDINARY BUSINESS	
1.	To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended March 31, 2021, the reports of the Board of Directors and Auditors thereon.
2.	To consider and approve the appointment and remuneration of M/s. G. M. Kapadia & Co., Chartered Accountants (Reg. no. 104767W) as Statutory Auditors in place of M/s. Kalyaniwalla & Mistry, LLP.
SPECIAL BUSINESS	
3.	To decide not to fill the vacancy caused due to retirement by rotation of Mr V. Chandrasekaran (DIN: 03126243)
4.	To consider and appoint Mr. Hare Krishna Jena (holding DIN:07624556) as a Director (liable to retire by rotation).
5.	To consider and appoint Mr. O.N. Ravi (holding DIN: 08646176) as a Director (liable to retire by rotation).

Signed this..... day of..... 2021

Affix Rs. 1/-
Revenue Stamp

Signature of shareholder

Signature of Proxy holder(s)

Note: This form of proxy in order to be effective should be duly completed and deposited at the Registered Office of the Company, not less than 48 hours before the commencement of the Meeting.

THE COMPANIES ACT, 2013
Consent of Shareholder for shorter notice
[pursuant to section 101(1)]

To,

The Board of Directors

Legal Entity Identifier India Ltd.

CCIL Bhavan, S. K. Bole Road,

Dadar (West), Mumbai-400 028

We, _____, a Company incorporated under the Companies Act, 1956 having registered office at _____, holding _____ equity shares of Rs.**10/-** each in the Company in its own name/jointly with _____ resident of _____, hereby give consent, pursuant to section 101(1) of the Companies Act, 2013, to convene the 6th Annual General Meeting of the Company on Tuesday, May 25, 2021 at 3.00 p.m. at a shorter notice.

Name of the Shareholder.

Signature

Dated the 21st day of May, 2021.